

# Medical Image Retrieval Based on Ensemble Learning using Convolutional Neural Networks and Vision Transformers

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## ABSTRACT:

The rapid increase in the number of medical image repositories nowadays has led to problems in managing and retrieving medical visual data. This has proved the necessity of Content-Based Image Retrieval (CBIR) with the aim of facilitating the investigation of such medical imagery. One of the most serious challenges that require special attention is the representational quality of the embeddings generated by the retrieval pipelines. These embeddings should include global and local features to obtain more useful information from the input data. To fill this gap, in this paper, we propose a CBIR framework that utilizes the power of deep neural networks to efficiently classify and fetch the most related medical images with respect to a query image. Our proposed model is based on combining Vision Transformers (ViTs) and Convolutional Neural Networks (CNNs) and learns to capture both the locality and also the globality of high-level feature maps. Our method is trained to encode the images in the database and outputs a ranking list containing the most similar image to the least similar one to the query. To conduct our experiments, an intermodal dataset containing ten classes with five different modalities is used to train and assess the proposed framework. The results show an average classification accuracy of 95.32 % and a mean average precision of 0.61. Our proposed framework can be very effective in retrieving multimodal medical images with the images of different organs in the body.

**KEYWORDS:** Content-Based Image Retrieval, Medical Image Retrieval, Ensemble Learning, Convolutional Neural Networks, Vision Transformers, Deep Learning, Similarity-Based Visual Search.

## 1. INTRODUCTION

With the advent of enormous data centers and storage systems, a large amount of visual content is being created on a daily basis [1]. These advancements have also been significantly beneficial in the context of clinical and diagnostic studies [2]. That is, hospitals and healthcare centers, which normally possess imaging facilities, produce a considerable amount of visual data daily and store them in the databases. These image collections lead to unavoidable conundrums, which hinder the process of managing, searching, and retrieving these visual content [3]. Therefore, developing effective image-based retrieval systems is essential to help clinicians investigate and browse such a huge amount of medical imagery. A reliable solution can be Content-Based Image Retrieval (CBIR) systems which provide an automatic approach for seeking, finding, and fetching relevant images. Without the existence of such systems, the procedure of investigating the target images can be extremely cumbersome and tedious. It is worthwhile to note that textual information such as tags and annotations is not efficient in most cases since it requires expert human resources and time [4]. Thus, CBIR systems can provide a supplementary way to automatically extract representations from the images without the demand for manual work [1].

CBIR is a task related to the domain of Computer Vision, in which we pave the way for searching for visual content relevant to a query image [5]. This search is based on multiple factors such as texture, color, geometry, and other features that can be inferred from an image [6]. In CBIR systems, the input image is first mapped to a high-dimensional feature in feature space [7]. These features are called embeddings, which represent the images in latent space. Then, the embeddings are fed to a similarity-defining module which measures the relevance between the query image and the input one [8]. The performance of these systems is thoroughly dependent on the representational quality of the embeddings. Hence, the more representative these embeddings' principal features, the more relevant images can be retrieved [9].

Hitherto, a considerable number of research works based on machine learning and deep learning-based approaches have been proposed in the literature. Rahman et al. [10] designed a system for CBIR, in which probabilistic multi-class SVM and fuzzy C-mean (FCM) clustering were employed with the aim of reducing the search space. Also, some local feature descriptors have been used for the retrieval task. In [11], Scale Invariant Feature Transform (SIFT) is used to recognize objects and fetch them. Speeded up Robust Features (SURF), in [12], is used to improve the invariance bias and the efficiency of the features to be used for retrieval.

Moreover, in [13], Kundu et al. put forward a CBIR system using pulse coupled neural network and non-rate.

Pogarell et al., in [14], used a CNN-based classifier for classifying and retrieving interstitial lung diseases. Their customization of their proposed model's architecture enabled their approach to efficiently extract low-level biased features.

Although the previous works have presented considerable efficacy, the fact that these approaches fail to capture both local and global features, ranging from higher to lower feature maps, is assumed to be a disadvantage. The main problem is that in a majority of cases, both of these types of features are required to extract finer meaningful representations from the patterns existent in the input data [15].

In the current study, we propose a framework that learns both local and global feature representation for learning the embeddings to overcome the previous works' shortages. In the suggested framework, a representation learning model, which comprises CNN and ViT-based models, is assembled together in order to capture better embeddings in terms of representational quality. The principal contributions of this study are as follows:

1. We propose a framework for retrieving medical images that learns both the input data's global and local features.
2. To our knowledge, this study is the first to use ViT-based and CNN-based models for representation learning in a medical image analysis context.
3. This study's case is in a challenging real-world data gathered from hospitals, and its results are more trustworthy in real scenarios

## 2. MATERIAL AND METHODS

### 2.1. Overview

In this section, we present an overview of our proposed framework. As is depicted in Fig. 1, our method comprises two parts. The first one is a representation learning module containing two ensemble models, detailed in section 2.6, and the second one is the similarity score extractor module which is utilized to calculate the similarity of an image with another one. This module recognizes a ranking list of images that are most similar to the query image and fetches them from the database.

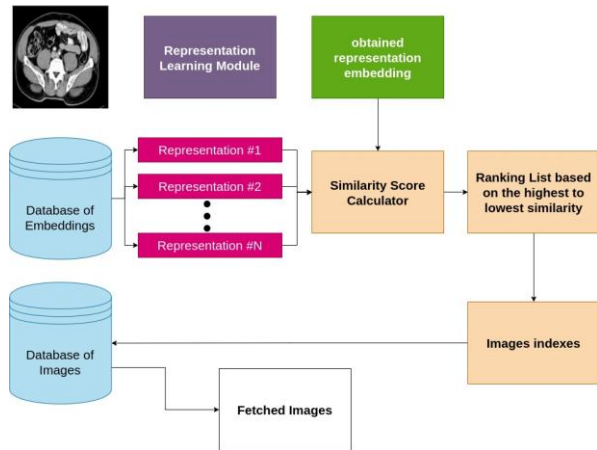


Fig. 1. The overview of the proposed framework.

2.2. Preprocessing

Many researchers consider pre-preprocessing as one of the most elemental stages in any machine learning-based algorithm [16]. It has an exceptional influence on the prediction that a model outputs as its final decision [17]. In this paper, as we deal with decision-making in a deep learning-based model, we have followed the same rule and normalized the input images' pixels to have a specific mean and standard deviation. The chosen values for these two parameters are detailed in Table 7.

2.3. Convolutional Neural Networks

Convolutional Neural Networks (CNNs) are ubiquitous in the field of computer vision due to their ability to handle visual data very performantly [18]. These networks have been used in various tasks such as image classification [19], image segmentation [20], object detection [21], activity recognition [22], face recognition [23], video processing [24], etc. Their advantage is the ability to harness the spatial and temporal correlation in the structure of the data [25]. The CNNs' topology is usually sectioned into several learning stages, including convolutional layers, non-linear processing units, named activation functions, and subsampling layers [26]. Fig. 2 shows a typical architecture of a CNN.

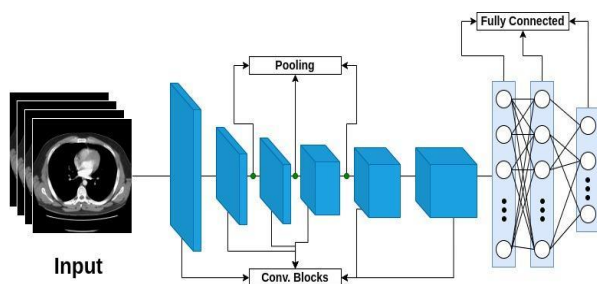


Fig. 2. A common CNN - Figure is the redesign of AlexNet Architecture.

As seen in Fig. 2, after the input data is fed to the network, several blocks of convolutional layers are applied to the image. Each one generates levels of feature maps, learning higher to lower-level features from the data. Finally, the final feature map is fed to a classification head with several fully connected layers. CNN internals contain kernels/filters of settled measurements, and these are alluded to as including finders. Once highlights from a picture are recognized, the data with respect to the position of entities in the input picture can really be neglected [26]. Sub-sampling could be a strategy formulated to decrease the dependence on exact situating inside highlight maps created by convolutional layers inside a CNN [27].

2.4. Vision Transformers

The origin of Vision Transformers (ViT) [26] is the transformer-based models which were introduced in the field of Natural Language Processing (NLP). These models are significantly strong in learning long dependencies of the sequences within the input data [28]. Their success in a variety of tasks, such as speech recognition [29], machine translation [30], text summarization [31], and intelligent chatbots [32], has motivated the researchers to adjust this technique in computer vision. At the core of these models is the self-attention [33] mechanism. This module allows the inputs to interact and learn what should be attended to. The output of the self-attention module is the amalgamation of these interactions and attention scores [34]. Fig. 3 demonstrates a common ViT-based model and its transformer block.

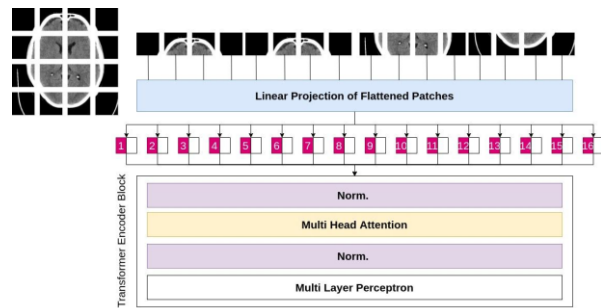


Fig. 3. The architecture of a ViT.

As is witnessed in Fig. 3, the image input is first patchified into multiple parts, with the object being similar to sequential data. Then, these patches are projected into a linear layer combined with positional encodings, which store the position-related information about each part of the image. Next, these embeddings are the input of the transformer block. This block comprises multi-head attention modules and employs the self-attention mechanism and normalization layers. Finally, the output is given to a Multi-Layer Perceptron (MLP)

head which gives us the probability distribution in which the predicted class owns the highest probability.

Moreover, in comparison with the CNN-based models, ViT-based architectures have some advantages. Firstly, CNNs heavily depend on their domain and cannot be made domain agnostic [33]. Secondly, CNN lacks the capacity required to learn any global understanding of the image and does not recognize the structural dependency between its features [34].

### 2.5. Proposed Representation Learning Module

This section includes our proposed method for medical image retrieval. Fig 4. demonstrates an overview of the approach.

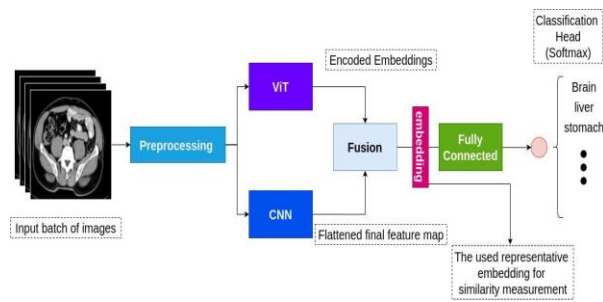


Fig. 4. The proposed representation learning module.

As is seen in Fig. 4, the input image is firstly preprocessed by a normalization process on the pixel values. Then, the normalized images are fed to the ViT-based and CNN-based models. The encoded embeddings of the former and the last layer feature maps generated by the latter are fused together and fed to a fully connected block to be classified using a softmax classifier. This way, the network learns to generate the most suitable representations, which can be classified into the correct class in case of being fused. The quality of the generated embedding, which in the figure is displayed by the pink triangle, is of great importance because they will be later, in the inference phase, used to calculate the similarity scores between the different images in the database.

## 3. RESULTS AND DISCUSSION

### 3.1. Experimental Setup

The tools detailed in Table 1 are used for implementing the proposed methodology. We trained the proposed ensemble model for 200 epochs for the training phase. Further, the hyperparameters are shown in Table 2. For the training phase, we trained the proposed ensemble model for 200 epochs. Further, the hyperparameters are shown in Table 2.

Table 1. The experimental setup.

Programming language	Python 3.7
Deep learning framework	Pytorch 1.19
CPU	Intel® Core™ i7-10700 CPU @ 2.90GHz × 16
GPU	GeForce GTX 1070

Table 2. Hyperparameters.

Image size	256
Batch size	128
Normalization Standard Deviation	0.229
Normalization Mean	0.485
Optimizer	Adam
Initial learning rate for optimizer	0.0001
Patch size (width, height)	(8, 8)
Number of attention heads	8
Head dimension	128
Drop-out rate for transformer module	0.3
Loss function	Binary Cross Entropy
Last layer	Sigmoid

### 3.2. Dataset

This section includes a description of the dataset used to assess the proposed methodology. We have gathered a collection of 10000 medical images provided by two well-known hospitals, namely Saint Raphael (Al Rahibat) Hospital and Al Khayal Private Hospital, in Baghdad, Iraq. These images are from 10 different human body organs, for each of which there exist 1000 images. Fig. 5 illustrates one sample for each class. All images are in DICOM (Digital Imaging and Communication in Medicine) format, and in our approach, we resize all of them to be in 256 widths and

256 heights. We divide each class in a ratio of 80 to 20 for training and testing sets.

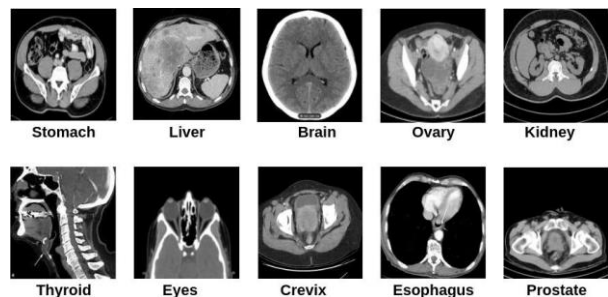


Fig. 5. Samples from each class in the dataset.

### 3.3. Retrieval performance

Precision and recall are used to assess the proposed methodology's performance. Equation 1 and equation 2 show how these are calculated, respectively.

Table 3 shows our proposed method achieved Average Precision (AP) and Average Recall (AR). Also, the distribution of the data is demonstrated in this table. The training and validation accuracy and loss curves are depicted in Fig. 6.

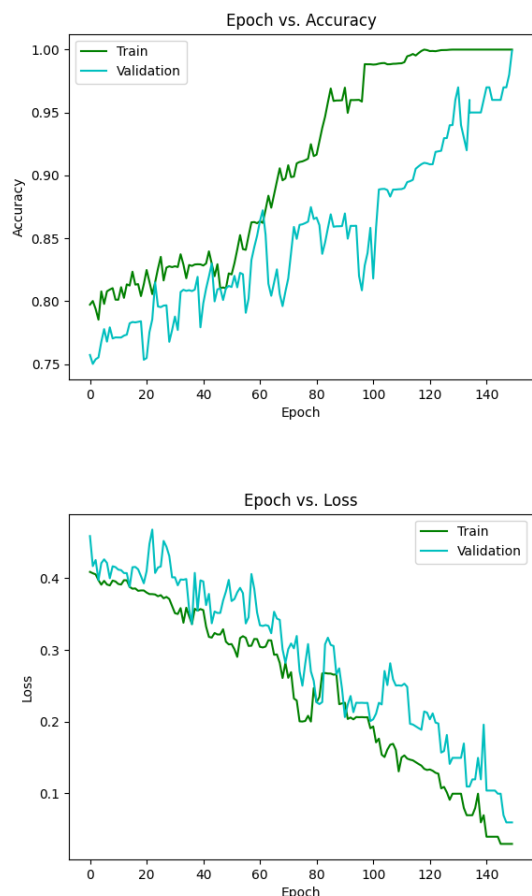


Fig. 6. The epoch vs. accuracy and epoch vs. loss curves.

Moreover, Fig. 7 illustrates two fetched images for three images belonging to three different classes within the dataset. Also, Table 4 demonstrates a comparison between our proposed methodology and the other methods.

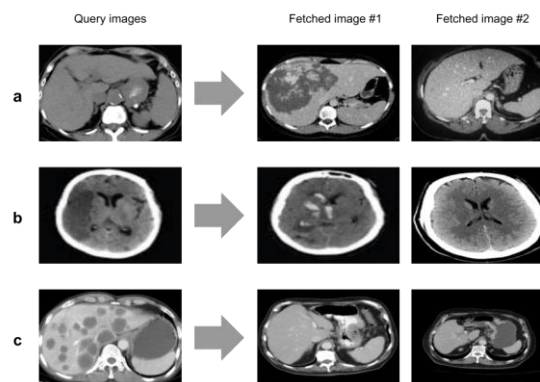


Fig. 7. Some fetched samples for three classes of a) liver, b) brain, c) stomach.

Based on Table 4, it can be inferred that our approach achieves satisfactory results. The achieved value mAP for our proposed methodology is 0.61, which is the second-highest score amongst the other state-of-the-art works. Fig. 8 shows this comparison as well.

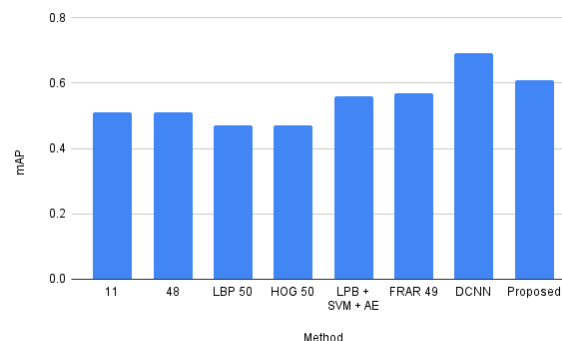


Fig. 8. Comparison between the proposed method and other state-of-the-art works.

### 4. CONCLUSION

In this paper, an approach for medical image retrieval is proposed. Our method uses two models based on CNN and ViT architectures for the representation learning stage. These representations, which are called embeddings, are used for similarity score indexing between the images in the database and query images. The proposed framework is extensively evaluated on a dataset with 10000 images belonging to 20 various classes of human body organs.

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